



IN-PREP

“An INTeGrated next generation PREParedness programme for improving effective inter-organisational response capacity in complex environments of disasters and causes of crises”

D1.5 Ethics, Legal and Security Approval (Intermediate)



This project has received funding from the European Union’s Horizon 2020 innovation programme under the Grant Agreement No 740627.

Document Summary Information

Grant Agreement No	740627	Acronym	IN-PREP
Full Title	An Integrated next generation preparedness programme for improving effective interorganisational response capacity in complex environments of disasters and causes of crises		
Start Date	01/09/ 2017	Duration	36 months
Project URL	www.in-prep.eu		
Deliverable	D1.5 Ethics, Legal and Security Approval (Intermediate)		
Work Package	WP1		
Contractual due date	31/08/2019 (M24)	Actual submission date	31/08/2019
Nature	Report ®	Dissemination Level	CO
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Executive Summary

This confidential deliverable outlines the research ethics, data protection, and project security governance and monitoring for the first two years on IN-PREP. This report outlines the consortium's activities in relation to mitigating any ethical or security issues during the course of the project. It covers the ethical and security aspects of design and conduct of research, including how human participants are treated, whether research results could be misused for criminal purposes, and guidance provided to the consortium to avoid scientific misconduct. Beyond describing the legal and standards framework that informs IN-PREP partners' practices within the project, specific issues are raised around data protection authority notifications, Brexit, and other regulatory changes being monitored.

After establishing this framework, the report describes the guidance and monitoring activities around working with human subjects and for managing the data, personal and otherwise, gathered from them. This includes the work of both IN-PREP's Special Offices: the Ethics and Legal Panel and the Security Scrutiny Board. Membership is described, including the addition of new members from outside the consortia to support on identified gaps. Under the work of the Ethics and Legal Panel, issues were addressed, including the move to GDPR compliance, informed consents at large events, consent documentation, gender and diversity issues, privacy notices for the website, and monitoring of the micro-projects is addressed. In relation to the Security Scrutiny Board, the report articulates the deliverable reviews and issues raised by in the security screening process, including the framework used to make such assessment. Key Issues still to be addressed are listed for both Offices. Both Offices have been maintaining the project's Misuse Risk Register. This tracks potential future misuses of the research results and the activities partners are undertaking to mitigate those abuses.

Outside of the offices, support has been provided to partners to ensure data protection accountability, plans for monitoring the risks from D2.3, and exercise research ethics guidance provided.

Because D10.1 was added as a requirement, this deliverable does not repeat that work of demonstrating communications with ethics boards and National Data Protection Authorities. Similarly, research ethics and security protocols were provided in D1.1 and are not repeated here. This deliverable does, however, document the actions of the IN-PREP Special Committees to monitor and support partner actions necessary for such compliance with the protocols.

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